

IMPORTANT DISCLOSURES

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Regulatory, investment, insurance, and third-party recognition disclosures

REGISTERED INVESTMENT ADVISER

Ironview Capital Management, LLC

LAST UPDATED

**August
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General Disclosures

01**Advisory Registration and Professional Scope**

Investment advisory services are provided by Ironview Capital Management, an SEC-registered investment adviser. The firm only conducts business in states where it is properly registered or is excluded from registration requirements. Registration is not an endorsement of the firm by securities regulators and does not mean the adviser has achieved a specific level of skill or ability. The firm is not engaged in the practice of law or accounting.

02**Legal, Tax, Estate Planning, and ERISA Information**

Estate planning, ERISA, legal, and tax information provided is general in nature and should not be construed as legal or tax advice. Always consult an attorney or tax professional regarding your specific legal or tax situation.

03**Information, Opinions, and Investment References**

Information presented is believed to be current. It should not be viewed as personalized investment advice or as an offer to buy or sell any of the securities mentioned. All expressions of opinion reflect the judgment of the adviser on the date of publication and may change in response to market conditions.

04**Strategy and Investment Risk**

You should consult with a professional advisor before implementing any strategies discussed. All investment and insurance strategies have the potential for profit or loss. Asset allocation, rebalancing, and diversification will not necessarily improve an investor's returns and cannot eliminate the risk of investment losses.

05**Alternative Investments**

Alternative investments are speculative, may be susceptible to fraud, involve a high level of risk, and may experience significant price volatility. You could lose all or a substantial part of your money, and your interest may be illiquid. They may involve complex tax structures and have higher fees.

06**Insurance Activities and Conflicts of Interest**

Certain financial professionals within the firm are also licensed insurance agents. This may present a conflict of interest, as they may receive commission-based compensation for insurance transactions. However, you are under no obligation to purchase insurance products through our firm or its representatives. Insurance product guarantees are subject to the financial strength and claims-paying ability of the issuing insurance company.

IMPORTANT

Nothing in these materials should be interpreted as a promise or guarantee of investment results. Investing involves risk, including possible loss of principal.

THIRD-PARTY RECOGNITION

Awards and Rankings Disclosures

Third-party awards and rankings are not indicative of future performance and should not be construed as an endorsement or guarantee of investment results. The specific disclosures applicable to each recognition are provided below.

01

**2016, 2018,
2019, and
2020**

Financial Times 300

Ironview Capital Management was named to the Financial Times 300 in 2016, 2018, 2019, and 2020. The program was discontinued after 2020. The Financial Times ranking is based upon a firm's assets under management, asset growth rate, years in existence, compliance record, industry certifications of key employees, compliance record, and online accessibility. Third-party rankings, such as those from the Financial Times, do not guarantee future investment performance. Inclusion on such lists does not imply a higher level of skill or success. Rankings are based on data submitted by the adviser and other public information. No compensation was paid by ICM to be considered or included. Full details on the methodology used are available upon request.

02

**Top 10
ERISA
Services
Providers
for 2024**

Manage HR Magazine

Ironview Capital Management was recognized as one of the Top 10 ERISA Services Providers for 2024 by Manage HR Magazine. Third-party rankings from Manage HR Magazine and other publications are no guarantee of future investment success. Working with a highly ranked adviser does not ensure that a client or prospective client will experience a higher level of performance. Rankings are based on information prepared and submitted by the adviser. The Manage HR Magazine recognition is based on the receipt of nominations by subscribers and an evaluation of the firm based on different criteria, such as innovation, customer satisfaction, and market presence. A more thorough disclosure of the criteria used in making these rankings is available upon written request to the adviser. The firm paid a fee to be featured in and to receive recognition from Manage HR Magazine.

03**2020-21
through
2026-27**

Forbes Top Wealth Advisor

Forbes Top Wealth Advisor award received in 2020-21, 2021-22, 2022-23, 2023-24, 2024-25, 2025-26, and 2026-27. Third-party rankings from Forbes, Financial Times, and other publications are no guarantee of future investment success. Working with a highly ranked adviser does not ensure that a client or prospective client will experience a higher level of performance. Rankings are based on information prepared and submitted by the adviser. The Forbes ranking was developed by SHOOK Research and is based on in-person, virtual, and telephone due diligence meetings to measure best practices, client retention, industry experience, credentials, review of compliance records, firm nominations, and quantitative criteria such as assets under management and revenue generated for their firms. A more thorough disclosure of the criteria used in making these rankings is available upon written request to the adviser. The firm did not pay a fee to be included in the Financial Times or Forbes rating system.

Methodology details for the recognitions described above are available upon written request to Ironview Capital Management.